

FORM PTO-1449

U.S. DEPARTMENT OF COMMERCE
PATENT AND TRADEMARK OFFICE

ATTORNEY DOCKET NO.

1330.1090

APPLICATION NO.

LIST OF REFERENCES CITED BY APPLICANT

(Use several sheets if necessary)

FIRST NAMED INVENTOR

David Charles Ward

FILING DATE

December 14, 2000

GROUP



U.S. PATENT DOCUMENTS

*EXAMINER INITIAL		DOCUMENT NO.	DATE	NAME	CLASS	SUB- CLASS	FILING DATE
JMA	AA	5,970,479	10/19/99	Sheperd			
JMA	AB	5,963,923	10/5/99	Garber, Howard			
JMA	AC	5,724,524	3/3/98	Hunt et al.			
JMA	AD	5,262,942	11/16/93	Earle			
	AE						
	AF						

FOREIGN PATENT DOCUMENTS

		DOCUMENT NO.	DATE	COUNTRY	CLASS	SUB- CLASS	TRANSLATION YES NO	
	AG							
	AH							
	AI							
	AJ							
	AK							
	AL							

OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, Etc.)

JMA	AM	MCEACHERN, CRISTINA; <i>Yes Trader to Offer ASP Model, Web Connectivity.</i> Wall Street & Technology, July 2000 v18 i7 p60.
JMA	AN	WEST, LOUISE; <i>Straight-Through Processing Comes of Age.</i> Bank Technology News, Sept. 1999 Pitem9927000d
JMA	AO	WOOLLACOTT, MATHEW; <i>ONLINE TRADING: Foreign exchange venture revealed.</i> InfoWorld, June 12, 2000 v2000 v22 i24 p24.
JMA	AP	SALES, ROBERT; <i>The Common Clearing Cold War.</i> Wall Street & Technology. April 1998 v16 n4 p46(5).

EXAMINER

JMA

DATE CONSIDERED

12/7/2004

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.



Sheet 1 of 1

FORM PTO-1449

U.S. DEPARTMENT OF COMMERCE
PATENT AND TRADEMARK OFFICE

ATTORNEY DOCKET NO.

1330.1090

APPLICATION NO.

09/735,794

LIST OF REFERENCES CITED BY APPLICANT

(Use several sheets if necessary)

FIRST NAMED INVENTOR

David Charles WARD, et al.

FILING DATE

December 14, 2000

GROUP ART UNIT

U.S. PATENT DOCUMENTS

*EXAMINER INITIAL		DOCUMENT NO.	DATE	NAME	CLASS	SUB- CLASS	FILING DATE
<i>Just</i>	AA	6,032,133	2/29/00	Hilt et al.	705	40	11/3/95
	AB						
	AC						
	AD						
	AE						
	AF						

FOREIGN PATENT DOCUMENTS

		DOCUMENT NO.	DATE	COUNTRY	CLASS	SUB- CLASS	TRANSLATION YES NO	
	AG							
	AH							
	AI							
	AJ							
	AK							
	AL							

OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, Etc.)

TRANSLATION
YES NO

	AM			
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EXAMINER:

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